

Department of Defense Response to USAI-Related FOIA Request

Key Points

- Citizens for Responsibility and Ethics in Washington filed a Freedom of Information Act (FOIA) lawsuit in federal district court against the Department of Defense (DoD), Office of Management and Budget (OMB) and Department of State (State) seeking certain communications relating to the Ukraine Security Assistance Initiative (USAI).
- On February 28, 2020, DoD produced the following 61 pages of documents pursuant to a joint status report filed with the court on January 24, 2020.
- This production is consistent with DoD's standard practice of invoking reasonably available exemptions under the FOIA statute.
- These exemptions include personnel privacy, classified information, sensitive foreign government information, and Executive Branch deliberative process.

Message (Digitally Signed)

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 7/26/2019 2:53:57 AM
To: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Cooper, Laura K SES OSD OUSD POLICY (USA) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Sendak, Catherine E SES (USA) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: Ukraine Foreign Assistance
Attachments: smime.p7s

fyi

From: Rood, John C HON OSD OUSD POLICY (USA)
Sent: Thursday, July 25, 2019 10:51 PM
To: McCusker, Elaine A HON OSD OUSD C (US) <(b) (6)>
Cc: Chewning, Eric SES SD <(b) (6)>
Subject: RE: Ukraine Foreign Assistance

Elaine:

Thanks for keeping me in the loop. There is a Deputies meeting tomorrow on this. I plan to (b) (5)

(b) (5)

(b) (5) --John--

From: McCusker, Elaine A HON OSD OUSD C (US) <(b) (6)>
Sent: Thursday, July 25, 2019 1:33 PM
To: Rood, John C HON OSD OUSD POLICY (USA) <(b) (6)>
Cc: Chewning, Eric SES SD <(b) (6)>
Subject: FW: Ukraine Foreign Assistance

John

Hey, close hold but wanted to make sure you were tracking this issue. (b) (5)

(b) (5)

EM

From: Chewning, Eric SES SD <(b) (6)>
Sent: Thursday, July 25, 2019 11:19 AM
To: Duffey, Michael P. EOP/OMB <(b) (6)>; Norquist, David HON SD <(b) (6)>; McCusker, Elaine A HON OSD OUSD C (US) <(b) (6)>
Cc: Sandy, Mark S. EOP/OMB <(b) (6)>
Subject: Re: Ukraine Foreign Assistance

Mike

(b) (5)

Eric D. Chewning
Chief of Staff

From: "Duffey, Michael P. EOP/OMB" (b) (6)
Date: Thursday, July 25, 2019 at 11:03:43 AM
To: "Norquist, David HON SD" (b) (6); "McCusker, Elaine A HON OSD OUSD C (US)" (b) (6); "Chewning, Eric SES SD" (b) (6)
Cc: "Sandy, Mark S. EOP/OMB" (b) (6)
Subject: Ukraine Foreign Assistance

David/Elaine/Eric:

Based on guidance I have received and in light of the Administration's plan to review assistance to Ukraine, including the Ukraine Security Assistance Initiative, please hold off on any additional DoD obligations of these funds, pending direction from that process. I understand that DOD will continue its planning and casework during this period and that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction.

We intend to formalize the pause with an apportionment footnote to be provided later today.

Given the sensitive nature of the request, I appreciate your keeping that information closely held to those who need to know to execute the direction. Please let me know if you have any questions.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

Message

From: Denaro, Paul J. EOP/OMB [(b) (6)]
Sent: 7/26/2019 1:08:15 PM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: O&M Defense Wide 97-0100 OMB signed apportionment [Apportionment sent to Agency after Approval from (b) (6)]
Attachments: Copy of INC 2019-19-23-24-25 IR-19-05 PA_97-0100 2019-complete 07.25.2019v2.xlsx

FYSA

From: apportionment@omb.gov [mailto:apportionment@omb.gov]
Sent: Thursday, July 25, 2019 2:48 PM
To: (b)(6)
(b)(6)
(b)(6); Mea, William J. EOP/OMB <(b)(6)>; Saldivar, John A. EOP/OMB (b)(6); Glazer, Josh S. EOP/OMB <(b)(6)>; Stalcup, Travis C. EOP/OMB <(b)(6)>; Denaro, Paul J. EOP/OMB (b)(6) Broomell, Katie W. EOP/OMB <(b)(6)>; Bain, Ally P. EOP/OMB <(b)(6)>; Greene, Brandon H. EOP/OMB (b)(6); Segura, Kimberly A. EOP/OMB (b)(6); Goldstein, Jeff D. EOP/OMB (b)(6); Chellaraj, Anita EOP/OMB <(b)(6)>; Falk Curtin, Edna T. EOP/OMB (b)(6); Music, Chris G. EOP/OMB (b)(6)>
Subject: O&M Defense Wide 97-0100 OMB signed apportionment [Apportionment sent to Agency after Approval from (b)(6)]

Message from Sender:

Thanks,
Katie
(b) (6)

Message From System Administrator:

This email generated from the OMB's web-based apportionment system was sent to members of the DOD/Operation and Maintenance and Military Personnel team. The subject line includes the email address of the person sending this email. Your agency (if you are an agency staffer) or examining division (if you are an OMB staffer) decided that you should receive these kinds of emails. If you believe that you received this email in error, please contact your agency apportionment administrators. You can search for them here: <https://portal.max.gov/home/sa/findAgencyAdminForm>

Apportionment file(s) attached.

SF 132 APPORTIONMENT SCHEDULE

FY 2019 Apportionment
Funds provided by Public Law 115-245

Line No	Line Split	Bureau/ Account Title / Cat B Stub / Line Split	Previous Approved	Prev Footnote	Agency Request	Agency Footnote	OMB Action	OMB Footnote	Memo Obligations
Department of Defense--Military Programs Bureau: Operation and Maintenance Account: Operation and Maintenance, Defense-wide TAFS: 97-0100 /2019									
IterNo 16		Last Approved Apportionment: 2019-06-26							
RptCat NO		Reporting Categories							
AdjAut NO		Adjustment Authority provided							
1 100		BA: Disc: Appropriation	44,336,976,000	B1	44,336,976,000	B1	44,336,976,000	B1	
1 120		BA: Disc: Approps transferred to o her accounts	-2,133,392,500	B3	-2,190,470,500	B3	-2,190,470,500	B3	
1 121		BA: Disc: Approps transferred from other accounts	134,378,300	B5,	185,753,300	B5,	185,753,300	B5,	
1 700		BA: Disc: Spending auth: Collected	441,782,648	B6	441,782,648	B6	441,782,648	B6	
1 701		BA: Disc: Spending auth: Chng uncoll pymts Fed src	1,028,642,887	B4	1,028,642,887	B4	1,028,642,887	B4	
1 740		BA: Disc: Spending auth:Antic colls, reimbs, other	1,829,574,465	B2	1,829,574,465	B2	1,829,574,465	B2	
1920		Total budgetary resources avail (disc. and mand.)	45,637,961,800		45,632,258,800		45,632,258,800		
6001		Category A -- 1st quarter	13,267,414,034		13,267,414,034		13,267,414,034		
6002		Category A -- 2nd quarter	14,946,467,889		14,946,467,889		14,946,467,889		
6003		Category A -- 3rd quarter	12,001,002,777		12,001,002,777		12,001,002,777		
6004		Category A -- 4th quarter	2,123,077,100		2,117,374,100		2,117,374,100		
6012		Reimbursable	3,300,000,000		3,300,000,000		3,300,000,000		
6190		Total budgetary resources available	45,637,961,800	A2, A3	45,632,258,800	A2, A3	45,632,258,800	A2, A3, A4	

Submitted _____ Date _____

See Approval Info tab for OMB approval information

FY 2019 Apportionment															
Funds provided by Public Law 115-245															
Treasury Agency	FY1	FY2	Treasury Account	Alloc Account	Alloc Sub-Account	Line No	Line Split	Bureau/ Account Title / Cat B Stub / Line Split	Previous Approved	Prev Approved Footnote	Agency Request	Agency Footnote	OMB Action	OMB Footnote	Memo Obligations
21	2019	2070				1121		Reserve Personnel, Army			910,000				
21	2019	2070				1120		Reserve Personnel, Army			-163,000				
21	2019	2060				1120		National Guard Personnel, Army			-444,000				
21	2019	2010				1120		Military Personnel, Army			-4,000,000				
97	2019	0100				1120		Operation and Maintenance, Defense-Wide			-57,078,000				
17	2019	1806				1120		Operation and Maintenance, Navy Reserve			-10,000				
21	2019	2065				1120		Operation and Maintenance, Army National Guard			-921,000				
21	2019	2065				1121		Operation and Maintenance, Army National Guard			70,000				
57	2019	3840				1120		Operation and Maintenance, Air National Guard			-39,000				
17	2019	1105				1121		Military Personnel, Marine Corps			4,239,000				
17	2019	1453				1121		Military Personnel, Navy			4,642,000				
17	2019	1405				1121		Reserve Personnel, Navy			2,887,000				
57	2019	3700				1121		Reserve Personnel, Air Force			1,937,000				
57	2019	3700				1120		Reserve Personnel, Air Force			-250,000				
21	2019	2060				1121		National Guard Personnel, Army			7,339,000				
57	2019	3850				1121		National Guard Personnel, Air Force			2,785,000				
21	2019	2020				1121		Operation and Maintenance, Army			44,926,000				
21	2019	2020				1120		Operation and Maintenance, Army			-49,000,000				
17	2019	1804				1121		Operation and Maintenance, Navy			55,654,000				
17	2019	1106				1121		Operation and Maintenance, Marine Corps			3,741,000				
57	2019	3400				1121		Operation and Maintenance, Air Force			114,618,000				
57	2019	3400				1120		Operation and Maintenance, Air Force			-10,365,000				
97	2019	0100				1121		Operation and Maintenance, Defense-Wide			51,375,000				
57	2019	3840				1121		Operation and Maintenance, Air National Guard			680,000				
57	2019	3740				1121		Operation and Maintenance, Air Force Reserve			128,000				
21	2019	2021	2035			1121		Other Procurement, Army			269,000				
17	2019	2021	1810			1121		Other Procurement, Navy			6,782,000				
57	2019	2021	3010			1121		Aircraft Procurement, Air Force			59,059,000				
57	2019	2021	3010			1120		Aircraft Procurement, Air Force			-4,959,000				
57	2019	2021	3080			1121		Other Procurement, Air Force			4,583,000				
17	2019	2020	1319			1121		Research, Development, Test, and Evaluation, Navy			4,000,000				
21	2019	2020	2040			1120		Research, Development, Test, and Evaluation, Army			-4,000,000				
97	2019	2021	0300			1120		Procurement, Defense-Wide			-1,089,000				
a/ The above amounts are transferred in accordance with provisions and sections 8005, 8052 ,and 8055 of division A of Public Law 115-245, the DoD Appropriations Act, 2019; and section 1001 of Public Law 115-232, the John S. McCain National Defense Authorization Act for FY 2019, as delegated, the Deputy Under Secretary of Defense (Comptroller) has determined that it is in the national interest to effect the transfer of funds between appropriations of the DoD, as reflected in DoD Reprogramming Actions FY 19-23 IR; FY 19-24 R; FY 19-25 IR and FY 19-05 PA.															
b/ One or more Classified Attachments displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachments. The classified apportionment shall be allotted in full and executed without change. Allotments shall be made no later than thirty days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.															
Signed: Anne J. McAndrew, Deputy Comptroller (Program/Budget)															
21	2019	2070				6004		Fourth Quarter			747,000				
21	2019	2060				6004		Fourth Quarter			6,895,000				
21	2019	2010				6004		Fourth Quarter			-4,000,000				
97	2019	0100				6004		Fourth Quarter			-5,703,000				
17	2019	1806				6004		Fourth Quarter			-10,000				
21	2019	2065				6004		Fourth Quarter			-851,000				
57	2019	3840				6004		Fourth Quarter			641,000				
17	2019	1105				6004		Fourth Quarter			4,239,000				
17	2019	1453				6004		Fourth Quarter			4,642,000				

FY 2019 Apportionment
OMB Footnotes

Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.
- A4** Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until August 5, 2019, to allow for an interagency process to determine the best use of such funds. Based on OMB's communication with DOD on July 25, 2019, OMB understands from the Department that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction. DOD may continue its planning and casework for the Initiative during this period.

Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).
- B3** (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L.115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.
- B4** Per the April 2019 SF-133
- B5** (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.
- B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

FY 2019 Apportionment
Agency Footnotes

Footnotes for Apportioned Amounts

- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.

Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).
- B3** (16) FY 19-23 IR transfers \$-2,538,000 in accordance with P.L. 115-245. FY 19-24 IR transfers \$-35,000,000 in accordance with section 8055 of P.L. 115-245. FY19-25 IR transfers \$-7,290,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. FY 19-05 PA transfers \$-12,250,000 in accordance with section 8005 of division A of P.L. 115-232. (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of P.L. 115-245.
- B4** Per the April 2019 SF-133
- B5** (16) FY 19-23 IR transfers \$33,586,000 in accordance with P.L. 115-245. FY 19-25 IR transfers \$17,789,000 in accordance with sections 8005 and 8052 of division A of P.L. 115-245 and section 1001 of P.L. 115-232. (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.
- B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

FY 2019 Apportionment
Previously Approved Footnotes

Footnotes for Apportioned Amounts

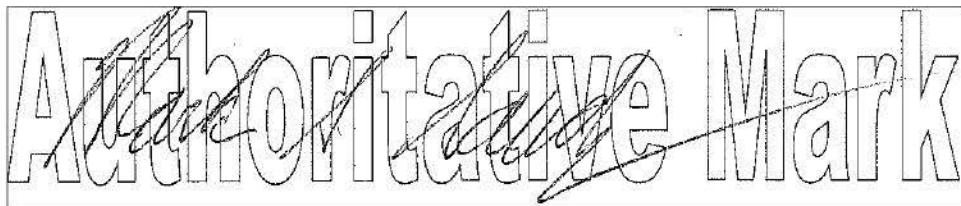
- A2** A classified attachment displaying the apportionment of specific classified programs within the amount displayed may be included. All documents associated with this apportionment are unclassified except for the Classified Attachment. The classified apportionment shall be allotted in full and executed without change. Such apportionment shall remain valid during the fiscal year until such time as a reapportionment of such classified apportionment is required. Allotments shall be made no later than 30 days after OMB signs the apportionment or the start of the subsequent calendar month, whichever is later.
- A3** Pursuant to 31 U.S.C. 1553(b), not to exceed one percent of the total appropriations for this account is apportioned for the purpose of paying legitimate obligations related to canceled appropriations.

Footnotes for Budgetary Resources

- B1** Funds provided by P. L. 115-245 signed September 28, 2018 appropriated amount of \$43,534,193,000; plus section 8048 \$44,000,000; plus section 8118 \$16,571,000; plus section 9013 \$250,000,000 plus section 9018 \$500,000,000 minus 8024(f) \$7,788,000.
- B2** Apportioned anticipated budgetary resources, once realized, do not need to be reapportioned unless the amount realized exceeds the conditions on the total amount apportioned (OMB Circular A-11 sections 120.49).
- B3** (15) FY 19-17 IR transfers \$-59,444,000 (Part I) and \$-187,814,000 (Part II) in accordance with sections 9002 and 9018 of Title IX (OCO) of division A of P.L. 115-245. (12) This action restores \$2,000,000 that was previously transferred in FY19-06 LTR to fund the National Commission on Military Aviation Safety; the Commission will be funded using other resources. (11) FY 19-10 IR transfer \$7,089,000 out of this account in accordance with section 8052 of division A of P.L. 115-245. (10) FY 19-06 LTR transfers \$-2,000,000 to the National Commission on Military Aviation Safety in accordance with section 1087 of P.L. 115-232. (9) FY 19-04 transfers \$-155,092,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245 and transfers \$-16,500,000 in accordance with sections 9002 and 9018 of Title IX of division A of P.L. 115-245. (4) FY 19-04 LTR transfers \$-10,000,000 in accordance with section 315(a) of P.L. 115-232. (3) FY 19-02 LTR transfers \$-1,693,442,000 in accordance with Title IX (OCO) of division A of Public Law 115-245. (5) FY19-02 transfers \$-4,011,500 in accordance with section 8052 of division A of Per the April 2019 SF-133
- B4**
- B5** (15) FY 19-17 IR transfers \$31,524,000 (Part I) in accordance with section 9018 of Title IX (OCO) of division A of P.L. 115-245. (9) FY 19-04 IR transfers \$46,248,000 in accordance with section 9018 of Title IX of division A of P.L. 115-245. (8) FY 19-08 IR transfers \$32,028,000 in accordance with Title VI of Division A of P.L. 115-245. (7) FY 19-05 IR transfers \$8,549,000 in accordance with Title VI of Division A of P.L. 115-245. (6) FY 19-03 IR transfers \$8,029,000 in accordance with Title II of division A of P.L. 115-245.
- B6** (14) Transfers \$8,000,000 from the Intelligence Community Management Account (ICMA) (Treasury Accounting System control #19011631) in accordance with provisions of the Intelligence Authorization Act for 2005

End of File

OMB Approved this apportionment request using
the web-based apportionment system



Mark Affixed By:

Mark Sandy
Deputy Associate Director for National Security Programs

Signed On:

2019-07-25 06:44 PM

File Name:

Copy of INC 2019-19-23-24-25 IR-19-05 PA_97-0100 2019-complete 07.25.2019v2.xlsx

Sent By:

Katie Broomell

Sent On:

2019-07-25 06:47 PM

TAF(s) Included:

97-0100 \2019

Approved Apportionment vs SF 133, FY 2019

Agy/Bur/Acct/TAFS	Line No	Line Description	Appor	SF 133	Appor	Obs	Other Lines		Cat B #
					Amts		Appor	SF 133	
Account: Operation and Maintenance, Defense-wide (007-10-0100)									
TAFS: 97-0100 /2019									
Last Apportioned: 2019-06-26 Latest SF 133: May, 2019									
	1021	Unob Bal: Recov of prior year unpaid obligations		30					
	1021	Unob Bal: Recov of prior year unpaid obligations		30					
	1100	BA: Disc: Appropriation	44,336,976	44,336,976					
	1100	BA: Disc: Appropriation	44,336,976	44,336,976					
	1120	BA: Disc: Approps transferred to other accounts	-2,133,393	-1,783,280					
	1120	BA: Disc: Approps transferred to other accounts	-2,133,393	-1,783,280					
	1121	BA: Disc: Approps transferred from other accounts	134,378						
	1121	BA: Disc: Approps transferred from other accounts	134,378						
	1700	BA: Disc: Spending auth: Collected	441,783	525,719					
	1700	BA: Disc: Spending auth: Collected	441,783	525,719					
	1701	BA: Disc: Spending auth: Chng uncoll pymts Fed src	1,028,643	1,230,697					
	1701	BA: Disc: Spending auth: Chng uncoll pymts Fed src	1,028,643	1,230,697					
	1740	BA: Disc: Spending auth:Antic colls, reimbs, other	1,829,574	1,251,783					
	1740	BA: Disc: Spending auth:Antic colls, reimbs, other	1,829,574	1,251,783					
	1910/1920	Total Budgetary Resources	45,637,962	45,561,925					
	1910/1920	Total Budgetary Resources	45,637,962	45,561,925					
	6001	Category A -- 1st quarter		13,267,414					
	6001	Category A -- 1st quarter		13,267,414					
	6002	Category A -- 2nd quarter		14,946,468					
	6002	Category A -- 2nd quarter		14,946,468					
	6003	Category A -- 3rd quarter		12,001,003					
	6003	Category A -- 3rd quarter		12,001,003					
	6004	Category A -- 4th quarter		2,123,077					
	6004	Category A -- 4th quarter		2,123,077					
	6012	Reimbursable		3,300,000					
	6012	Reimbursable		3,300,000					
	2001	Direct obs incurred: Category A (by quarter)			25,335,569				
	2001	Direct obs incurred: Category A (by quarter)			25,335,569				
	2002	Direct obs incurred: Category B (by project)			2,470,523				012-92
	2002	Direct obs incurred: Category B (by project)			2,470,523				012-92
	2101	Reimbursable obs incurred: Category A (by quarter)			351,690				
	2101	Reimbursable obs incurred: Category A (by quarter)			351,690				
	2102	Reimbursable obs incurred: Category B (by project)			617,070				012-92

Agy/Bur/Acct/TAFS	Line No	Line Description	Appor	SF 133	Amts	Obs	Appor	SF 133	Cat B #
	2102	Reimbursable obs incurred: Category B (by project)				617,070			012-92
	2###/6###	Total Apportioned Amounts \ Obligations			45,637,962	28,774,852			
	2###/6###	Total Apportioned Amounts \ Obligations			45,637,962	28,774,852			
	2201	Unob Bal: Apportioned: Avail in the current period						12,688,491	
	2201	Unob Bal: Apportioned: Avail in the current period						12,688,491	
	2202	Unob Bal: Apportioned: Avail in subsequent periods						2,842,736	
	2202	Unob Bal: Apportioned: Avail in subsequent periods						2,842,736	
	2203	Unob Bal: Apportioned: Anticipated						1,251,783	
	2203	Unob Bal: Apportioned: Anticipated						1,251,783	
	2403	Unob Bal: Unapportioned: Other						4,061	
	2403	Unob Bal: Unapportioned: Other						4,061	
	2500/6190	Total Budgetary Resources					45,637,962	45,561,925	
	2500/6190	Total Budgetary Resources					45,637,962	45,561,925	
End of file									

Non-Expenditure Transfers Report, FY 2019

STAR Ref No	Legal Cite	Title	Amount	Date Posted	Date Effective

Account: Operation and Maintenance, Defense-wide (007-10-0100)

TAFS: 97-0100 /19

19011631	50 USC 1910 (a) (b) (c)	Appropriation Transfer	8,000,000	2018-12-19	2018-12-07
19227512	PL 115-245, Title II, page(s) 7-9	Appropriation Transfer	8,029,300	2019-01-04	2018-12-31
19896688	PL 115-245, section 9002, HR 6157, page 62	Appropriation Transfer	1,500,000	2019-03-22	2019-03-21
18894024	PL 115-245, Title IX, HR 6157, page(s) 54-55	Appropriation Transfer	1,693,442,000	2018-12-04	2018-11-20
19052240	PL 115-232, section 315(a), HR 5515, page 78	Appropriation Transfer	10,000,000	2018-12-13	2018-12-11
19896690	PL 115-245, section 9002, HR 6157, page 62	Appropriation Transfer	5,000,000	2019-03-22	2019-03-21
19213427	PL 115-245, HR 6157, section 8052, page 33	Appropriation Transfer	150,000	2019-01-03	2018-12-31
19213427	PL 115-245, HR 6157, section 8052, page 33	Appropriation Transfer	1,279,500	2019-01-03	2018-12-31
19896689	PL 115-245, section 9002, HR 6157, page 62	Appropriation Transfer	10,000,000	2019-03-22	2019-03-21
19213473	PL 115-245, HR 6157, section 8052, page 33	Appropriation Transfer	627,500	2019-01-03	2018-12-31
19213473	PL 115-245, HR 6157, section 8052, page 33	Appropriation Transfer	742,000	2019-01-03	2018-12-31
19213470	PL 115-245, HR 6157, section 8052, page 33	Appropriation Transfer	707,500	2019-01-03	2018-12-31
19213470	PL 115-245, HR 6157, section 8052, page 33	Appropriation Transfer	505,000	2019-01-03	2018-12-31
19768067	PL 115-245, Title VI, HR 6157, page 17	Appropriation Transfer	32,028,000	2019-03-13	2019-03-08
19679691	PL 115-245, Title VI, HR 6157, page 17	Appropriation Transfer	8,549,000	2019-02-28	2019-02-27
19896684	PL 115-245, section 9018, HR 6157, page 66	Appropriation Transfer	54,500,000	2019-03-26	2019-03-21
19896684	PL 115-245, section 9018, HR 6157, page 66	Appropriation Transfer	5,900,000	2019-03-26	2019-03-21
19896687	PL 115-245, section 9018, HR 6157, page 66	Appropriation Transfer	1,990,000	2019-03-26	2019-03-21
19896685	PL 115-245, section 9018, HR 6157, page 66	Appropriation Transfer	46,454,000	2019-03-26	2019-03-21
20014596	PL 115-245, section 8052, HR 6157, page 33	Appropriation Transfer	147,000	2019-04-04	2019-04-04
20014596	PL 115-245, section 8052, HR 6157, page 33	Appropriation Transfer	366,000	2019-04-04	2019-04-04
20014597	PL 115-245, section 8052, HR 6157, page 33	Appropriation Transfer	2,671,000	2019-04-04	2019-04-04
20014597	PL 115-245, section 8052, HR 6157, page 33	Appropriation Transfer	1,443,000	2019-04-04	2019-04-04
20014594	PL 115-245, section 8052, HR 6157, page 33	Appropriation Transfer	1,862,000	2019-04-04	2019-04-04

<u>STAR Ref No</u>	<u>Legal Cite</u>	<u>Title</u>	<u>Amount</u>	<u>Date Posted</u>	<u>Date Effective</u>
20014594	PL 115-245, section 8052, HR 6157, page 33	Appropriation Transfer	600,000	2019-04-04	2019-04-04

<u>To TAFS</u>	<u>From TAFS</u>	<u>To From</u>
21- -2060- 19-000	97- -0100- 19-000	From this account

Report Run: 2019-07-12 08.01.05


Warrants Report, FY 2019

<u>Agy/Bur/Acct/TAFS</u>	<u>STAR Ref No</u>	<u>Legal Cite</u>	<u>Amount</u>	<u>Date Posted</u>	<u>Date Effective</u>
Account: Operation and Maintenance, Defense-wide (007-10-0100) (007-10-0100)					
Treas Account: Operation and Maintenance, Defense-wide					
TAFS: 97-0100 /19					
	18600931	132 STAT 2985	44,336,976,000	2018-11-02	2018-10-28

End of file

From: (b) (6) CIV OSD OUSD POLICY (USA)
To: (b) (6); (b) (6)
Cc: (b) (6); (b) (6); (b) (6); (b) (6); (b) (6)
Subject: RE: [Non-DoD Source] RE: FOR REVIEW: Slides on US Security Assistance
Date: Monday, July 22, 2019 6:55:00 PM

(b) (5), (b) (3) 10 USC 130c



(

From: (b) (6) >
Sent: Monday, July 22, 2019 3:32 PM
To: (b) (6) >; (b) (6)
(b) (6)
Cc: (b) (6) >; (b) (6) >;
(b) (6); (b) (6); (b) (6)
(b) (6)
Subject: [Non-DoD Source] RE: FOR REVIEW: Slides on US Security Assistance

All active links contained in this email were disabled. Please verify the identity of the sender, and confirm the authenticity of all links contained within the message prior to copying and pasting the address to a Web browser.

I see. I read it as only FY19 funds. In that case, that leaves about \$25M un-notified, which is the number I'm tracking for section 333 to Ukraine in FY18. So none of that has been notified yet, (?

From: (b) (6)
Sent: Monday, July 22, 2019 3:30 PM
To: (b) (6) >; (b) (6)
(b) (6)
Cc: (b) (6); (b) (6);
(b) (6) >; (b) (6); (b) (6)
(b) (6)
Subject: Re: FOR REVIEW: Slides on US Security Assistance

\$10 million should be FY2018 FMF that was announced in Dec 2018 in response to Kerch

attack, but was not yet notified. (b)(6)

Sent from my BlackBerry 10 smartphone.

From: (b)(6)
Sent: Monday, July 22, 2019 3:04 PM
To: (b)(6); (b)(6)
Cc: (b)(6); (b)(6); (b)(6); (b)(6); (b)(6)
(b)(6)
Subject: RE: FOR REVIEW: Slides on US Security Assistance

Updated. It now reads to say that we have \$150M in un-notified FY19 funds. \$115M of that is State/FMF; what is the other \$35M, section 333? (b)(6), please confirm. I wasn't tracking such a large number.

(b)(6)

(b)(6)
Security Assistance Officer
Office of the Assistance Coordinator for Europe, Eurasia & Central Asia (ACE)
Bureau of European and Eurasian Affairs (EUR)
U.S. Department of State
HST-4227
(b)(6)

From: (b)(6) <Caution-mailto:(b)(6)>
Sent: Monday, July 22, 2019 9:28 AM
To: (b)(6) <Caution-mailto:(b)(6)>;
(b)(6) <Caution-mailto:(b)(6)>
Cc: (b)(6) <Caution-mailto:(b)(6)>; (b)(6)
(b)(6) <Caution-mailto:(b)(6)>; (b)(6)
(b)(6) <Caution-mailto:(b)(6)> (b)(6)
<(b)(6) <Caution-mailto:(b)(6)> (b)(6)>
<(b)(6) <Caution-mailto:(b)(6)>
Subject: Fw: FOR REVIEW: Slides on US Security Assistance

(b)(6)

I'm out of office today. Could you update the numbers on the first page of the Ukraine Security Assistance Summary? Since this slide was produced in early May, DoD has notified an additional \$125 million in USAI.

(b)(5)

(b)(6)

Sent from my BlackBerry 10 smartphone.

From: (b)(6) <(b)(6) <Caution-mailto:(b)(6)>>

Sent: Monday, July 22, 2019 8:40 AM

To: (b)(6)

Cc: (b)(6)

Subject: FOR REVIEW: Slides on US Security Assistance

(b)(6)

Prior to meeting you during my consultations, you forwarded me the attached files among a slew of other background documents to help me prepare for my transfer to post (thanks again, they were incredibly helpful). I'm revisiting the two attached documents now, as I'd like to touch them up

(b)(5)

The ODC Chief reviewed the Assistance Summary slide deck along with me and had one change, but I wanted to run the traps with you quickly on the numbers to make sure they are current/correct. If you wouldn't mind taking a quick look, please review the figures in both slide decks and feel free to update accordingly.

Also, FYSA, the product you sent for our review/clearance on the high-side is with the DCM. Hoping she'll have time to review it; if not, David should be listed as the top-level clearer for post.

Thanks in advance,

(b)

Official - SBU

UNCLASSIFIED

From: [Vindman, Alexander S. EOP/NSC](#)
To: (b) (6)
Subject: RE: WAVES
Date: Friday, July 19, 2019 6:26:55 PM

Here ya go.

<https://events.whitehouse.gov/?rid=6R6GTWWC4R>

V/R,
Alexander S. Vindman
Director for Eastern Europe and Russia
National Security Council
Desk: (b) (6)

-----Original Message-----

From: (b) (6) CIV OSD OUSD POLICY (USA)
(b) (6) >
Sent: Friday, July 19, 2019 5:01 PM
To: Vindman, Alexander S. EOP/NSC <(b) (6)>
Subject: WAVES

Alex,

I'm not sure yet whether A/ASD Wheelbarger's conflict on Tuesday is moveable yet or not, so no clarity on our PCC participant. Will keep you posted.

In the meantime, would you mind sending me the WAVES link?

I sent you a note on (b) (5) on the high side.

Thanks,

(

(
Country Director - Ukraine
Office of the Secretary of Defense
Pentagon 5D414
Desk: (b) (6)

From: Rood, John C HON OSD OUSD POLICY (USA)
To: Esper, Mark Thomas HON USARMY HODA (USA); (b) (6)
Cc: Chewning, Eric SFS SD; Smith, George W Jr LtGen USMC OSD OSD (USA); (b) (6) CAPT SD; Wheelbarger, Kathryn I, SFS OSD OUSD POLICY (USA); Trullio, David V SFS OSD OUSD POLICY (USA); Cooper, Laura K SFS OSD OUSD POLICY (US); Sendak, Catherine E SFS (USA); Verga, Peter SFS SD; (b) (6); OSD Pentagon OUSD Policy List USDP MAs and SAs; SD - SecDef Action Group; (b) (6) LtCol SD
Subject: ~~(S//NF)~~ Deputy Small Group on Ukraine security assistance
Date: Thursday, July 25, 2019 11:02:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Mr. Secretary,

DNSA Charlie Kupperman is chairing a Deputies Small Group on Friday to discuss (b) (5)

[REDACTED]

(b) (5)

[REDACTED]

(b) (5)

[REDACTED]

(b)(1), Sec. 1.4(d), Sec. 1.4(e), (b)(5),
(b)(6)

[REDACTED]

(b)(3); 10
USC §130c,
(b)(5)

[REDACTED]

(b)(1), Sec. 1.4(a),
Sec. 1.4(c), Sec.
1.4(d), (b)(5)

[REDACTED]

(b) (5)

--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Cooper, Laura K SES OSD OUSD POLICY (US)
To: Rood, John C HON OSD OUSD POLICY (USA); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Trullio, David V SES OSD OUSD POLICY (USA)
Cc: OSD Pentagon OUSD Policy List USDP MAs and SAs; OSD Pentagon OUSD Policy List ISA FO; Sendak, Catherine E SES (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) JPMAT USARMY OSD OUSD POLICY (US)
Subject: ~~(S//NF)~~ Readout: Ukraine PCC, July 23, 2019
Date: Tuesday, July 23, 2019 5:47:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

John, Katie, Dave,

NSC Senior Director for Europe and Russia Tim Morrison chaired a Ukraine PCC on July 23. (b)

(5),

(b)(6)

BLUF: (b)(1), Sec. 1.4(a), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), (b)(5)

Due-Outs:

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)

Discussion:

(b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5), (b)(3); 10 USC §130c

[REDACTED]

(b)(1), Sec. 1.4(b), Sec. 1.4(e), Sec.
1.4(d), (b)(5)

[REDACTED]

(b)(5), (b)
(1), Sec.
1.4(c),
Sec.
1.4(d)

[REDACTED]

(b)(5), (b)(1), Sec.
1.4(d)

[REDACTED]

(b)(5), (b)(1), Sec. 1.4(d)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Best,
Laura

Laura K. Cooper
Deputy Assistant Secretary of Defense
Russia, Ukraine, Eurasia
Office of the Under Secretary of Defense for Policy
(b)(6)

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Sendak, Catherine E SES (USA)
To: OSD Pentagon OUSD Policy List ISA FO
Cc: Cooper, Laura K SES OSD OUSD POLICY (US); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) MAJ USARMY OSD OUSD POLICY (US)
Subject: (S//NF) Readout: Ukraine sub-PCC - July 18, 2019
Date: Thursday, July 18, 2019 3:30:00 PM
Importance: High

CLASSIFICATION: ~~SECRET//NOFORN~~

Good afternoon ISA FO –

Below is a readout of a Ukraine sub-PCC I attended this morning. (b)(5), (b)(1), Sec. 1.4(d)

[REDACTED]

(b)(5)

[REDACTED]

Best,

Katie

NSC Director Alex Vindman chaired a Ukraine sub-PCC on July 18. (b)(5), (b)(6)

[REDACTED]

BLUE: (b)(5)

[REDACTED]

(b)(5) [REDACTED]

Due-Outs:

(b)(5) [REDACTED]

Discussion:

(b)(1), Sec. 1.4(a), [REDACTED]
Sec. 1.4(b), Sec. [REDACTED]
1.4(d), (b)(5), (b)(3); [REDACTED]
10 USC §130c [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

(b)(5), (b) [REDACTED]
(1), Sec. [REDACTED]
1.4(d) [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

(b)(5) [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Rood, John C HON OSD OUSD POLICY (USA)
To: (b) (6)
Cc: Chewning, Eric SES SD; Smith, George W Jr LtGen USMC OSD OSD (USA); (b) (6) CAPT SD; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Trulio, David V SES OSD OUSD POLICY (USA); Verga, Peter SES SD; (b) (6); OSD Pentagon OUSD Policy List USDP MAs and SAs; SD - SecDef Action Group; (b) (6) LtCol USMC MARCENT (USA); Cooper, Laura K SES OSD OUSD POLICY (US); (b) (6) McCusker, Elaine A HON OSD OUSD C (US)
Subject: (S//NF) Ukraine Deputies Small Group Readout, July 26, 2019
Date: Friday, July 26, 2019 7:46:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Mr. Secretary,

DNSA Charlie Kupperman chaired a Deputies Small Group on Ukraine on July 26 to discuss the (b)(5)

I participated along with VCJCS Gen Selva.

BLUF: (b)(1), Sec. 1.4(a), Sec. 1.4(d), (b)(5)

DUE OUTS:

— (b)(1), Sec. 1.4(b), (b)(5)

Key points:

(b)(1), Sec. 1.4(b), (b)(5), (b)(3); 10 USC §130c

— (b)(5)

— (b)(1), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), (b)(5)

— (b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(e),
Sec. 1.4(d), (b)(5)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Rood, John C HON OSD OUSD POLICY (USA)
To: (b) (6)
Cc: "Morrison, Timothy A."; OSD Pentagon OUSD Policy List USDP MAs and SAs; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Trullio, David V SES OSD OUSD POLICY (USA); Cooper, Laura K SES OSD OUSD POLICY (US)
Subject: ~~(S//NF)~~ Ukraine DSG follow-up
Date: Friday, August 2, 2019 7:00:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Charlie,

Following up on Friday's discussion at the Ukraine DSG, (b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

Thanks. --John--

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Cooper, Laura K SES OSD OUSD POLICY (US)
To: Rood, John C HON OSD OUSD POLICY (USA); OSD Pentagon OUSD Policy List USDP MAs and SAs
Cc: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Hooper, Charles W LTG USARMY DSCA FO (USA); Kausner, Gregory M SES DSCA FO (USA); Trullio, David V SES OSD OUSD POLICY (USA); OSD Pentagon OUSD Policy List ISA FO; Sendak, Catherine E SES (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) CIV OSD OUSD POLICY (USA)
Subject: ~~(S//NF)~~ Ukraine DSG Follow-Up: Legal Authorities on Security Assistance
Date: Monday, July 29, 2019 5:36:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

John,

Following up on the discussion at the DSG, (b) (5)

BLUF: (b) (5)

(b) (5)

(b) (5)

I am also cc'ing LTG Hooper and Greg Kausner.

Best,
Laura

(b) (5)

(b) (5)
[Redacted]
[Redacted]
[Redacted]
[Redacted]

(b) (5)
[Redacted]
[Redacted]

[Redacted]
[Redacted]
[Redacted]
[Redacted]
[Redacted]
[Redacted]
[Redacted]
[Redacted]

(b) (5)
[Redacted]

[Redacted]
[Redacted]

(b) (5)
[Redacted]

[Redacted]
[Redacted]

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) |>

Sent: Friday, July 26, 2019 7:47 PM

To: (b) (6)

Cc: Chewning, Eric SES SD <(b) (6)>; Smith, George W Jr LtGen USMC OSD OSD (USA) (b) (6) >; (b) (6) CAPT SD (b) (6) >; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) <(b) (6)>; Trulio, David V SES OSD OUSD POLICY (USA) <(b) (6)>; Verga, Peter SES SD (b) (6) ; (b) (6) OSD Pentagon OUSD Policy List USDP MAs and SAs <(b) (6)>; SD - SecDef Action Group <(b) (6) ; (b) (6) LtCol USMC MARCENT (USA)

(b) (6) >; Cooper, Laura K SES OSD OUSD POLICY (US)
(b) (6) >; (b) (6) McCusker, Elaine A HON OSD OUSD
C (US) <(b) (6) >
Subject: ~~(S//NF)~~ Ukraine Deputies Small Group Readout, July 26, 2019

CLASSIFICATION: ~~SECRET//NOFORN~~

Mr. Secretary,

DNSA Charlie Kupperman chaired a Deputies Small Group on Ukraine on July 26 to discuss the

(b)(5)

. I participated along with VCJCS Gen Selva.

BLUF: (b)(1), Sec. 1.4(a), Sec. 1.4(d), (b)(5)

[REDACTED]

DUE OUTS:

– (b)(5)

– (b)(5)

– (b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)

Key points:

(b)(1), Sec. 1.4(b), (b)(5), (b)
(3); 10 USC §130c

[REDACTED]

– (b)(5)

– (b)(1), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), (b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), (b)(5)

— (b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(e),
Sec. 1.4(d); (b)(5)

--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

Laura K. Cooper
Deputy Assistant Secretary of Defense
Russia, Ukraine, Eurasia
Office of the Under Secretary of Defense for Policy
(b)(6)

From: Sendak, Catherine E SES (USA)
To: Lamontagne, John D Brig Gen USAF JS J5 (USA); Julazadeh, David J Maj Gen USAF EUCOM ECJ5/8 (USA);
Kausner, Gregory M SES DSCA FO (USA)
Cc: (b) (6) COL USARMY JS J5 (USA); Meyeraan, Jessica Brig Gen USAF EUCOM ECJ5/8 (USA); (b) (6)
CAPT USN DSCA EUCOM IRT (USA); Cooper, Laura K SES OSD OUSD POLICY (US); (b) (6) CIV
OSD OUSD POLICY (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) USAF USARMY OSD
OSD POLICY (US)
Subject: ~~(S//NF)~~ Ukraine Security Assistance
Date: Thursday, July 18, 2019 5:30:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Good evening Johnny, Dave, Greg.

This morning, I participated in a Ukraine sub-PCC today (b) (5)

[REDACTED]

[REDACTED]

(b) (5)
[REDACTED]

[REDACTED]

Hope you all have a wonderful evening. Thank you!

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Sendak, Catherine E SES (USA)
To: (b) (6) CIV OSD OUSD POLICY (USA)
Cc: (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) MAJ USARMY OSD OUSD POLICY (US)
Subject: RE: (C) FOR REVIEW: Draft sub-PCC SOC
Date: Friday, July 19, 2019 11:05:00 AM

CLASSIFICATION: ~~CONFIDENTIAL~~

Looks good to me. Thank you!

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~CONFIDENTIAL~~

From: (b) (6) CIV OSD OUSD POLICY (USA) <(b) (6)>
Sent: Friday, July 19, 2019 9:33 AM
To: Sendak, Catherine E SES (USA) <(b) (6)>
Cc: (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) mil>; (b) (6) MAJ USARMY OSD OUSD POLICY (US) <(b) (6)>
Subject: (C) FOR REVIEW: Draft sub-PCC SOC

CLASSIFICATION: ~~CONFIDENTIAL~~

Katie,

Please find attached for your review our proposed edits to the sub-PCC SOC. Alex is requesting inputs by Noon today.

Thanks,

(b)

CLASSIFICATION: ~~CONFIDENTIAL~~

From: Vindman, Alexander S. (b) (6)
Sent: Thursday, July 18, 2019 7:39 PM
To: (b) (6)

(b) (6)

Cc: (b) (6)

Subject: RE: HOLD: Ukraine Sub-PCC, Thursday, July 18, 0900-0950

Classification: ~~CONFIDENTIAL~~

Classified By: ASVindman, Director for Eastern Europe, NSC

Derived From: NSC SCG

Declassify On: 20441231

=====

Colleagues, please review the attached draft SOC and provide your edits by noon, Friday July 19.

V/R,

Alex Vindman

Director for Eastern Europe and Russia

Secure: (b) (6)

From: Vindman, Alexander S.

Sent: Wednesday, July 17, 2019 11:57 AM

To: (b) (6)

[REDACTED]

Cc: (b) (6)

[REDACTED]

(b) (6)

[REDACTED]

(b) (6)



(b) (6)



(b) (6)

[REDACTED]

Subject: RE: HOLD: Ukraine Sub-PCC, Thursday, July 18, 0900-0950

Classification: ~~CONFIDENTIAL~~

Classified By: ASVindman, Director for Eastern Europe, NSC
Derived From: NSC SCG
Declassify On: 20441231

=====


Ladies/Gentlemen,

Attached, please find the read ahead and below please find the updated agenda for tomorrow's

Ukraine Sub-PCC. For those participating in person, the meeting has moved to WHSR Exec.

I've also attached NSDC Secretary Danylyuk's letter to APNSA Bolton outlining a draft strategic framework and bilateral cooperation agenda, purely for situational awareness.

(b)(1), Sec. 1.4(a), Sec. 1.4(d), (b)(5)

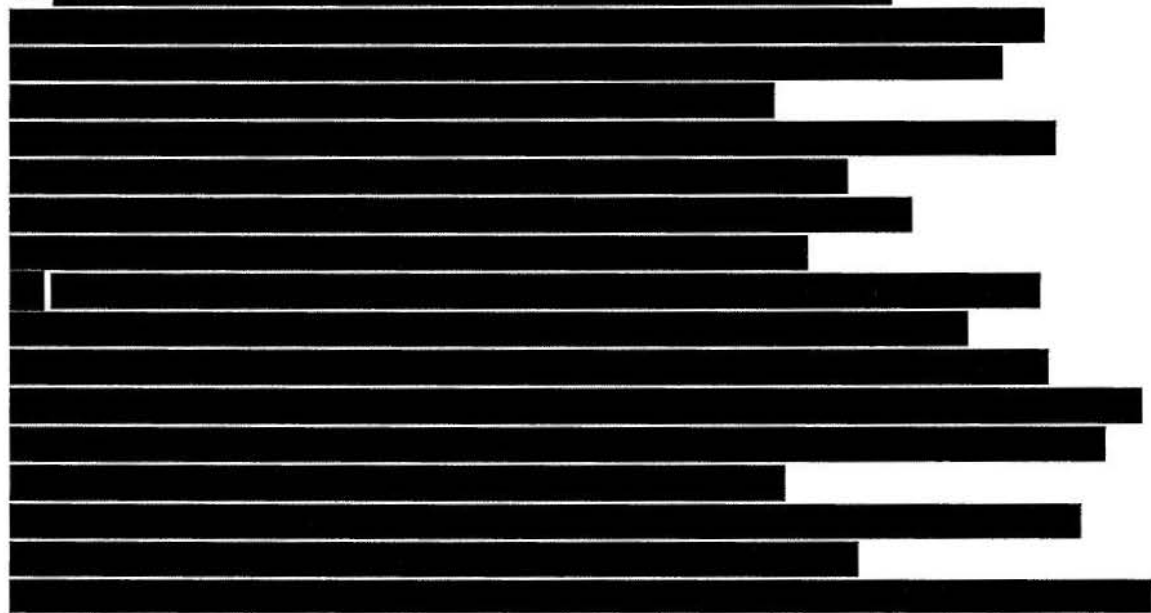



V/R,
Alex Vindman
Director for Eastern Europe and Russia
Secure: (b)(6)

From: Vindman, Alexander S.

Sent: Friday, July 12, 2019 9:16 AM

To: (b) (6)



(b) (6)



(b) (6)




Classification: ~~CONFIDENTIAL~~

Classified By: ASVindman, Director for Eastern Europe, NSC
Derived From: NSC SCG
Declassify On: 20441231
=====

Ladies/Gentlemen,

Please hold **Thursday, July 18, 2019 from 0900-0950** for a Ukraine Sub-PCC.

SVTC connections are requested for (b) (5)



If you wish to attend in person, please contact me on NIPR for White House access. The Sub-PCC will meet in EEOB Room 374.

Tentative Agenda:

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)



V/R,
Alexander S. Vindman
Director for Eastern Europe and Russia

National Security Council

UNCLASS: (b) (6) (b) (6)

JWICS: (b) (6)

Secure: (b) (6)

[REDACTED]

=====
Classification: ~~CONFIDENTIAL~~

=====
Classification: ~~CONFIDENTIAL~~

=====
Classification: ~~CONFIDENTIAL~~

From: Sendak, Catherine E SES (USA)
To: (b) (6) CIV OSD OUSD POLICY (USA)
Cc: (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6)
(b) CIV OSD OUSD POLICY (USA); (b) (6) MAJ USARMY OSD OUSD POLICY (US)
Subject: RE: (S//NF) Readout: Ukraine PCC, July 23, 2019
Date: Wednesday, July 24, 2019 5:07:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Thanks (b)(6) for the email and sorry for the confusion. We had a memo we sent up last week which was tasked for the SD that never went up to the SD FO. But we are tracking the three current taskers. The GEM referenced, the info paper for the NSC, and the read ahead for USDP Rood for the DSG.

All are tracking for on time delivery. Thank you!

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~SECRET//NOFORN~~

From: (b) (6) CIV OSD OUSD POLICY (USA) <(b) (6)>
Sent: Wednesday, July 24, 2019 5:01 PM
To: Sendak, Catherine E SES (USA) <(b) (6)>
Cc: (b) (6) CIV OSD OUSD POLICY (USA) <(b) (6)>; (b) (6)
CIV OSD OUSD POLICY (USA) (b) (6) >; (b) (6) CIV OSD
OUSD POLICY (USA) (b) (6); (b) (6) MAJ USARMY OSD OUSD POLICY
(US) <(b) (6)>
Subject: (S//NF) Readout: Ukraine PCC, July 23, 2019
Importance: High

CLASSIFICATION: ~~SECRET//NOFORN~~

Katie,

Sorry, you caught me on Tandberg at a crazy time today. Just to confirm, from the below email chain I see USDP has asked for an additional GEM to send to SD on Ukraine assistance. I am tracking two

tasks: Original Info Memo to send to NSC and a GEM to send to SD with background. I was confused by the reference of an info memo for SD, which was not requested and is not a requirement.

GEM was requested tonight and the info paper needs to be sent to NSC before the DSG on Friday.

Thank you!!

(b) (6)

---BREAK---

"Thanks for the good readout. (b) (5)

[REDACTED]

[REDACTED] Thanks.--John--"

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6) >

Sent: Wednesday, July 24, 2019 8:48 AM

To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) (b) (6) >; Trulio, David V SES OSD OUSD POLICY (USA) (b) (6)

Cc: OSD Pentagon OUSD Policy List USDP MAs and SAs (b) (6) (b) (6)

[REDACTED] OSD Pentagon OUSD Policy List ISA FO (b) (6)
[REDACTED]; Sendak, Catherine E SES (USA) (b) (6) (b) (6)

(b) (6) CIV OSD OUSD POLICY (USA) (b) (6) (b) (6) CIV

OSD OUSD POLICY (USA) (b) (6) >; (b) (6) MAJ USARMY OSD OUSD POLICY (US) (b) (6)

Subject: RE: (S//NF) Readout: Ukraine PCC, July 23, 2019

CLASSIFICATION: ~~SECRET//NOFORN~~

Thank you, we're on it. (b) (5), (b) (6)

[REDACTED]

Best,

Laura

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Rood, John C HON OSD OUSD POLICY (USA) (b) (6)

Sent: Tuesday, July 23, 2019 9:19 PM

To: Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) (b) (6); Trulio, David V SES OSD OUSD POLICY (USA) (b) (6) >

Cc: OSD Pentagon OUSD Policy List USDP MAs and SAs (b) (6)

OSD Pentagon OUSD Policy List ISA FO (b) (6)

Sendak, Catherine E SES (USA) (b) (6) (b) (6)

CIV OSD OUSD POLICY (USA) (b) (6) (b) (6) CIV
OSD OUSD POLICY (USA) (b) (6) > (b) (6) MAJ USARMY OSD OUSD
POLICY (US) (b) (6)

Subject: RE: (S//NF) Readout: Ukraine PCC, July 23, 2019

CLASSIFICATION: ~~SECRET//NOFORN~~

Laura:

Thanks for the good readout. (b) (5)

Thanks.--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6)

Sent: Tuesday, July 23, 2019 5:48 PM

To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) (b) (6); Trulio, David V SES OSD OUSD POLICY (USA) (b) (6)

Cc: OSD Pentagon OUSD Policy List USDP MAs and SAs (b) (6)

OSD Pentagon OUSD Policy List ISA FO (b) (6)

Sendak, Catherine E SES (USA) (b) (6) (b) (6)

CIV OSD OUSD POLICY (USA) (b) (6) > (b) (6) CIV
OSD OUSD POLICY (USA) (b) (6) > (b) (6) MAJ USARMY OSD OUSD
POLICY (US) (b) (6)

Subject: (S//NF) Readout: Ukraine PCC, July 23, 2019

CLASSIFICATION: ~~SECRET//NOFORN~~

John, Katie, Dave,

NSC Senior Director for Europe and Russia Tim Morrison chaired a Ukraine PCC on July 23. (b)

(5),

(b)(6)

BLUF: (b)(1), Sec. 1.4(a), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), (b)(5)

Due-Outs:

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)

Discussion:

(b)(1), Sec. 1.4(b),
Sec. 1.4(d), (b)(5), (b)
(3); 10 USC §130c

(b)(5), (b)(1), Sec. 1.4(d)

(b)(1), Sec. 1.4(b), Sec. 1.4(e), Sec.
1.4(d), (b)(5)

(b)(5), (b)
(1), Sec.
1.4(c),
Sec.
1.4(d)

(b)(5), (b)(1), Sec.
1.4(d)

Best,
Laura

Laura K. Cooper
Deputy Assistant Secretary of Defense
Russia, Ukraine, Eurasia
Office of the Under Secretary of Defense for Policy
(b)(6)

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Rood, John C HON OSD OUSD POLICY (USA)
To: Cooper, Laura K SES OSD OUSD POLICY (US); OSD Pentagon OUSD Policy List USDP MAs and SAs
Cc: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Hooper, Charles W LTG USARMY DSCA FO (USA); Kausner, Gregory M SES DSCA FO (USA); Trulio, David V SES OSD OUSD POLICY (USA); OSD Pentagon OUSD Policy List ISA FO; Sendak, Catherine E SES (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) CIV OSD OUSD POLICY (USA)
Subject: RE: (S//NF) Ukraine DSG Follow-Up: (b) (5)
Date: Tuesday, July 30, 2019 7:09:43 AM

Laura:

Thanks for running this down. (b)(5)

(b)(5)

Thanks.--John--

From: Cooper, Laura K SES OSD OUSD POLICY (US)
Sent: Monday, July 29, 2019 5:37 PM
To: Rood, John C HON OSD OUSD POLICY (USA); OSD Pentagon OUSD Policy List USDP MAs and SAs
Cc: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Hooper, Charles W LTG USARMY DSCA FO (USA); Kausner, Gregory M SES DSCA FO (USA); Trulio, David V SES OSD OUSD POLICY (USA); OSD Pentagon OUSD Policy List ISA FO; Sendak, Catherine E SES (USA); (b) (6) CIV OSD OUSD POLICY (USA); (b) (6) CIV OSD OUSD POLICY (USA)
Subject: (S//NF) Ukraine DSG Follow-Up: (b) (5)

CLASSIFICATION: ~~SECRET//NOFORN~~

John,

Following up on the discussion at the DSG, (b) (5)

I am also cc'ing LTG Hooper and Greg Kausner.

Best,
Laura

(b)(5)

(b)(5)

(b)(5)

(b)(5)

(b)(5)

(b)(5)

(b)(5)

(b)(5)

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Rood, John C HON OSD OUSD POLICY (USA) <(b) (6)>
Sent: Friday, July 26, 2019 7:47 PM
To: (b) (6)
Cc: Chewning, Eric SES SD (b) (6); Smith, George W Jr LtGen USMC OSD OSD (USA) (b) (6); (b) (6) CAPT SD (b) (6); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) (b) (6); Trulio, David V SES OSD OUSD POLICY (USA) (b) (6); Verga, Peter SES SD (b) (6)
(b) (6) OSD Pentagon OUSD Policy List USDP MAs and SAs <(b) (6)>; SD - SecDef Action Group (b) (6)
(b) (6) LtCol USMC MARCENT (USA) (b) (6) Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6); (b) (6); McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Subject: ~~(S//NF)~~ Ukraine Deputies Small Group Readout, July 26, 2019

CLASSIFICATION: ~~SECRET//NOFORN~~

Mr. Secretary,

DNSA Charlie Kupperman chaired a Deputies Small Group on Ukraine on July 26 to discuss (b) (5)

I participated along with VCJCS Gen Selva.

BLUF: (b)(1), Sec. 1.4(a), Sec. 1.4(d), (b)(5)

DUE OUTS:

(b)(5)

(b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)

Key points:

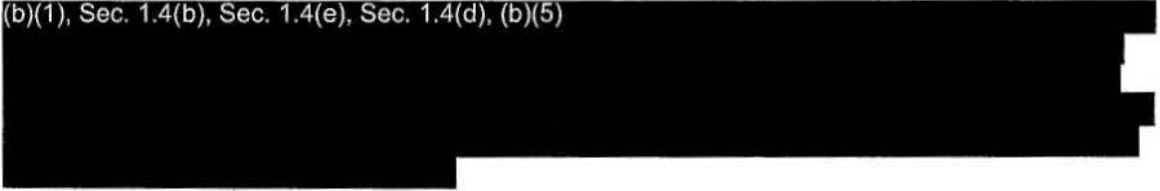
(b)(1), Sec. 1.4(b), (b)(5), (b)(3); 10 USC §130c

– (b)(5)

– (b)(1), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), (b)(5)

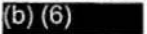
– (b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(e), Sec. 1.4(d), (b)(5)



--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

Laura K. Cooper
Deputy Assistant Secretary of Defense
Russia, Ukraine, Eurasia
Office of the Under Secretary of Defense for Policy
(b) (6) 

From: Cooper, Laura K SES OSD OUSD POLICY (US)
To: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA)
Subject: RE: ~~(S//NF)~~ Ukraine
Date: Tuesday, July 23, 2019 12:19:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

No, very doable, thanks!

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA)
(b) (6)
Sent: Tuesday, July 23, 2019 9:56 AM
To: Cooper, Laura K SES OSD OUSD POLICY (US)
(b) (6) >
Subject: FW: ~~(S//NF)~~ Ukraine

CLASSIFICATION: ~~SECRET//NOFORN~~

I think this is pretty easy to do, but let me know if you need any further assistance.

Katie

Kathryn Wheelbarger
Acting Assistant Secretary of Defense
International Security Affairs
(b) (6)

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Rood, John C HON OSD OUSD POLICY (USA)
<(b) (6)>
Sent: Tuesday, July 23, 2019 9:12 AM
To: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA)
(b) (6) >
Cc: Trulio, David V SES OSD OUSD POLICY (USA)
(b) (6); (b) (6) CIV OSD OUSD POLICY (USA)
(b) (6); Cooper, Laura K SES OSD OUSD POLICY (US)
(b) (6); Sendak, Catherine E SES (USA)
<(b) (6)>; OSD Pentagon OUSD Policy List USDP
MAs and SAs (b) (6)
Subject: ~~(S//NF)~~ Ukraine

CLASSIFICATION: ~~SECRET//NOFORN~~

Katie:

(b)(5)



Thanks.--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Selva, Paul J Gen USAF JS OCJCS (USA)
To: Dunford, Joseph F Jr Gen USMC JS (US)
Cc: Wolters, Tod D Gen USAF EUCOM COM (USA); Gilday, Michael M VADM USN JS ODJS (USA); VanHerck, Glen D Maj Gen USAF JS ODJS (USA); Whitworth, Frank D (Trey) RADM USN JS J2 (USA); Bredenkamp, Michele H BG USARMY JS J2 (USA); Poppas, Andrew P LTG USARMY JS J3 (USA); Allvin, David W Lt Gen USAF JS J5 (USA); Caudle, Daryl L RADM USN JS J5 (USA); Cathal S RDML USN JS J5 O'Connor (US)
(b) (6); Ridderhof, Phillip J SES JS J5 (USA); Lamontagne, John D Brig Gen USAF JS J5 (USA); Meyer, John V III BG USARMY JS OCJCS (USA); (b) (6) Col USMC JS OCJCS (USA); (b) (6) CAPT USN JS OCJCS (USA); (b) (6) COL USARMY JS OCJCS (USA); (b) (6) Lt Col USAF JS OCJCS (USA); (b) (6) COL USARMY JS J5 (USA); (b) (6) COL USMC JS J5 (USA); (b) (6) Lt Col USAF JS OCJCS (USA); (b) (6) LCDR USN JS OCJCS (USA); (b) (6) COL USARMY JS OCJCS (USA)
Subject: ~~(S//NF)~~ READOUT - Ukraine DSG
Date: Friday, July 26, 2019 4:06:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Chairman,

BLUF: (b)(1), Sec. 1.4(a), (b)(5)

This afternoon, I participated in a Ukraine DSG. Charlie Kupperman chaired the meeting. John Rood represented OSD. (b)(1), Sec. 1.4(a), Sec. 1.4(b), (b)(5)

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)

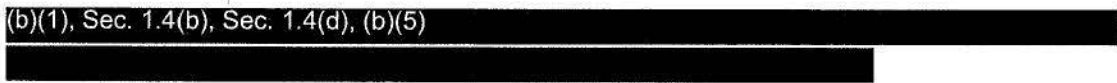
(b)(1), Sec. 1.4(a), Sec. 1.4(b), Sec. 1.4(c), Sec. 1.4(d), Sec. 1.4(e), (b)(5)

(b)(1), Sec. 1.4(d), (b)(5)

(b)(5)

A large rectangular area of text is completely redacted with black ink.

(b)(1), Sec. 1.4(b), Sec. 1.4(d), (b)(5)

A rectangular area of text is completely redacted with black ink.

V/r,
Paul

CLASSIFICATION: ~~SECRET//NOFORN~~